

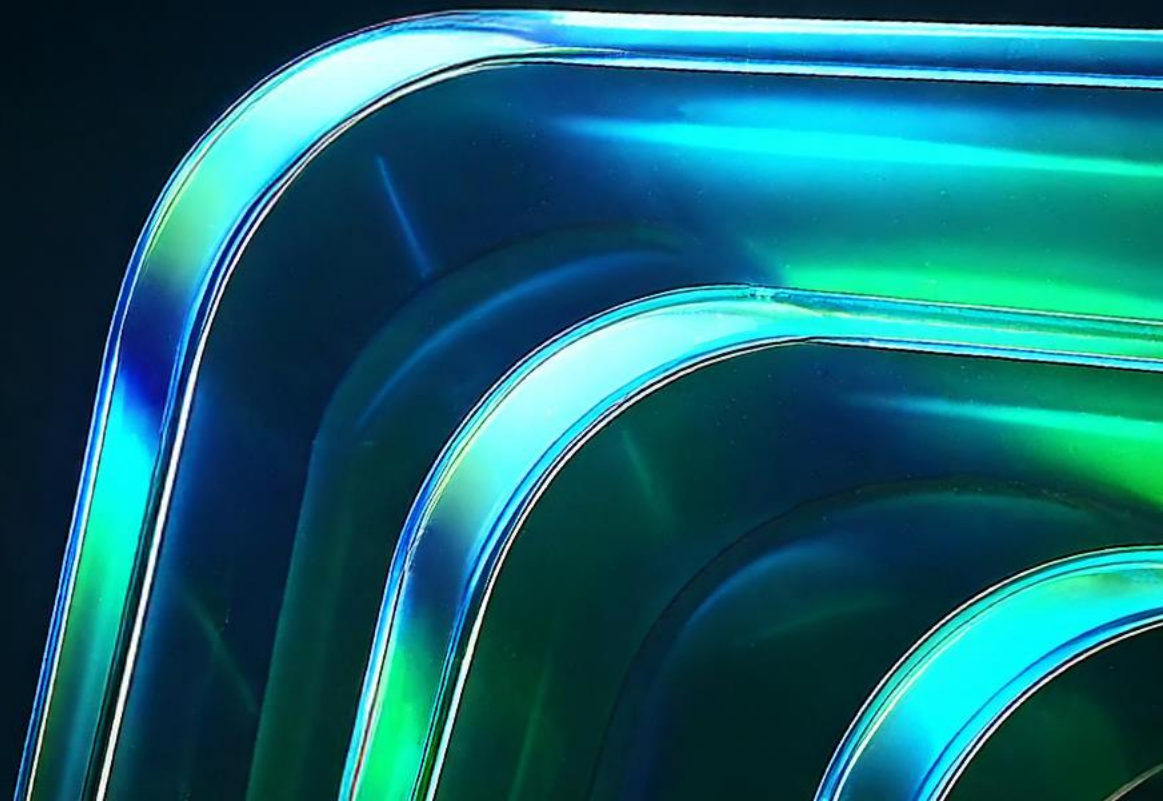
Check-In & Earn Points!

- Already got the **Cvent App**? Tap **CHECK IN** and enter the session code "Finance1"
- Prefer a faster option? Just scan the QR code to check in directly!



Navigating AI & Regulatory Compliance in the Finance Industry

A roundtable discussion



Regulatory, Ethical, Legal, & Business Risks

Risk Framework

NIST AI RISK Framework

- <https://www.nist.gov/itl/ai-risk-management-framework>

Define a policy, define the rules.

AI Governance is CRITICAL

What to avoid?

No customer data in public AI

No AI data in marketing/public data (Copyright)

Use it with Intent.

Know when it's in use.

How are you using it now?

- What tools?
- What goals?
- What is its purpose?

Where can we build efficiency?

By removing repetitive, time-intensive tasks.



Vendors – Core Banking

FIS (FiServ)

Support Services

- Chat Bots for Customers & Self-Service

[How is Fiserv using AI? | Fiserv](#)

Oracle Banking

Integration

- OCI (Oracle Cloud), Oracle Infra AI & ML Solutions

[The Intelligent Bank: Thriving on Your AI and Data Advantage](#)

Jack Henry

Data Strategy & AI

- GANs for Fraud Detection & Prevention
- Included Access to 'Critical Data'
- Improve Efficiency and 'relationship'

[AI and Data Strategy in Financial Services](#)

AI Tools

Text

ChatGPT

Gemini

Copilot

Apple Intelligence

Research

Perplexity AI

Image

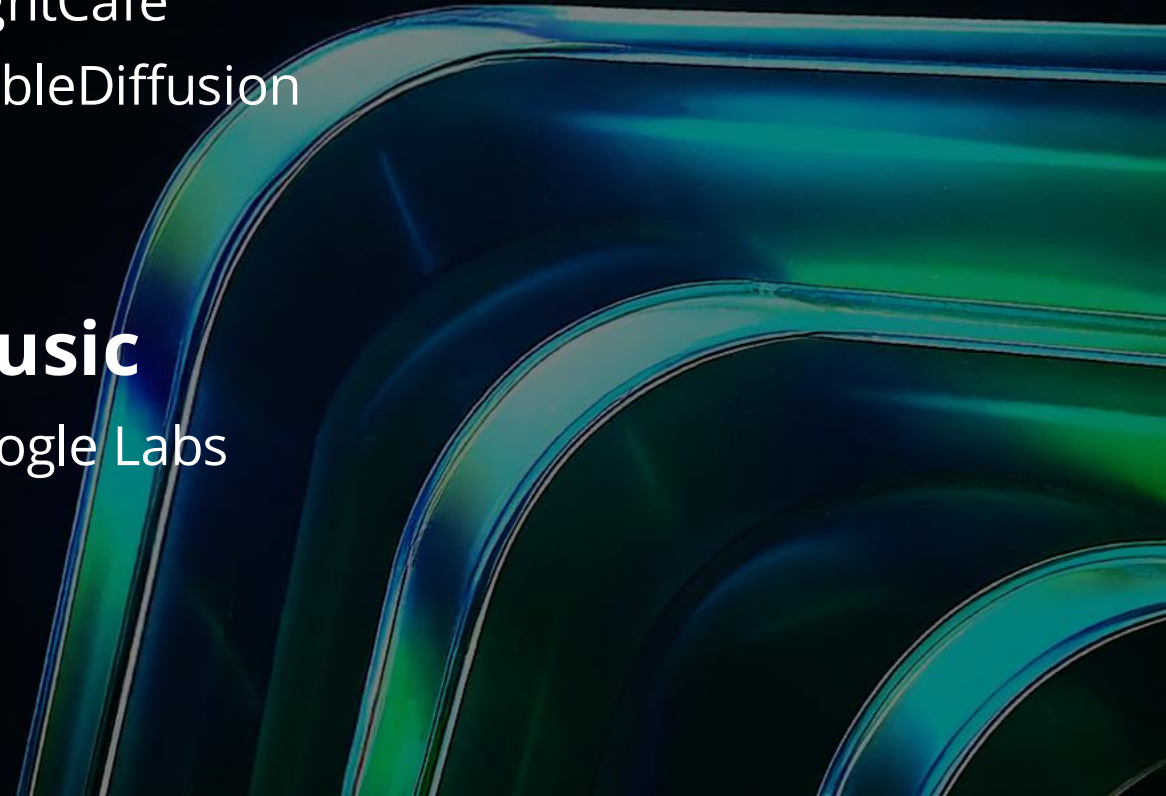
Midjourney

NightCafe

StableDiffusion

Music

Google Labs



How?

- Generative AI
 - ChatGPT, Perplexity, Gemini, etc.
- Custom AI Services
 - Personas
 - Agents
 - GPTs: <https://chatgpt.com/gpts>
 - Any tool that claims 'customized' or 'trained' use is one of these.
 - Llama – Requires Python Expertise
 - ComfyUI
- Data Conversion
 - Zapier: [Zapier: Automate AI Workflows, Agents, and Apps](#)

Coming up next at 10:15am

TECH CON

Grand Ballroom

Cybersecurity Trends for 2025

Panel Discussion with
Nate Schmitt & Todd Sorg

Junior Ballroom

Unleashing Productivity with Microsoft Copilot

Live Demo with
Andrew Brathwaite

London/Edinburgh

AI Policy Blueprint

Workshop with
Ann Platson

Cambridge

AI for Competitive Edge & Compliance in Manufacturing

Roundtable Discussion
with CIT vCISO